

# Stratos Investment Management

## Custom Indexing

A professionally managed portfolio of stocks or ETFs that is customizable to each client's beliefs, preferences, and financial goals.

### Appropriate Investors: Description:

<p>A Custom Index portfolio may be appropriate for investors seeking index-like returns that have large unrealized gains, concentrated positions, high tax expectations, or personal beliefs and preferences for investing.</p> <p>At Stratos Investment Management, we understand that each investor's journey is unique. That's why our Custom Indexing Solution is not a one-size-fits-all solution. We work with each client's advisor to tailor the strategy to the client's unique investment preferences, capital gains budgets, and overall financial goals.</p>	<p><b>Embracing Technology</b></p>	<p>Technology allows investors to access strategies previously available only to the ultra-high-net-worth. Our software, blended with our portfolio management expertise, constructs each custom index portfolio to best replicate the beta<sup>1</sup> exposure of each client's target index.</p>
	<p><b>Diligent Tax Loss Harvesting</b></p>	<p>Portfolios are monitored daily for meaningful tax-loss harvesting opportunities. By diligently monitoring accounts each day, we are able to capitalize on opportunities as they arise to add to an investor's "tax loss bank" and mitigate future tax obligations.</p>
	<p><b>Wide Variety of Customization Options</b></p>	<p>You have complete flexibility to personalize your client's portfolio to best suit their needs. Whether the constraints are based around items such as personal beliefs, tax budgets, sectors, individual securities, or even the index we track, our solution can provide a truly personalized experience.</p>
	<p><b>Portfolio Support</b></p>	<p>Advisors will have direct access to Stratos Investment Management's Portfolio Construction Team and indirect access to Orion Advisor Solution's Portfolio Management and Software Teams. This deep bench of expertise and access allows us to take a hands-on approach to Custom Index Portfolio Management.</p>

Index Fund/ETF	Custom Index Portfolio
Holds entire universe of securities	Holds subset of security universe
Buy-and-Hold of all positions at market weight regardless of performance	Daily Monitoring for Tax-Loss Harvesting Opportunities
"Off-The-Shelf" Product that must be used in its entirety	Fully customizable to account for personal beliefs and capital gain "problems"

<sup>1</sup> Beta: a statistical indicator of how a stock or portfolio moves with the market as a whole